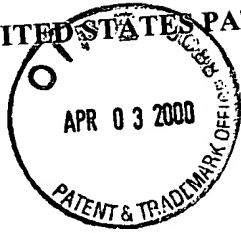


IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of
O. Foncarnier
Filing Date: 9/28/99
Serial No. 09/407,738
For: A Method and System for Broadcasting Alarm
Messages to Selected Users of an IP Network



March 28, 2000
IBM Corporation
Dept. T81/Bldg. 062
P.O. Box 12195
RTP, NC 27709

#4

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

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Sir:

Applicants submit herewith patents, publications or other information of which they just became aware of through a European Search Report, and of which there may be a duty to disclose in accordance with 37 CF 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

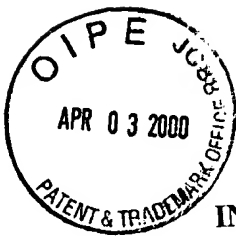
A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in cursive script that reads "A. Bruce Clay".

A. Bruce Clay
Attorney for Applicants
Registration No. 32,121

ABC:cmr
Docket No: FR9-98-059
PHONE: 919-254-6717
FAX: 919-254-4330



Docket No. FR9-98-059

2734

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: O. Foncarnier

Serial No.: 09/407,738 Group No.: 2734

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For: A Method and System for Broadcasting Alarm Messages to Selected Users of an IP Network

Assistant Commissioner of Patents

Washington, D.C. 20231

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Information Disclosure Statement and Form PTO-1449 and 4 references.
2. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner of Patents
Washington, D.C. 20231

on March 28, 2000

Catherine M. Robbins
(Name of person mailing paper or fee)

Catherine M. Robbins
(Signature of person mailing paper or fee)